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OPEN Nanocarbon synthesis by hightemperature oxidation of nanoparticles

Ken-ichi Nomura¹, Rajiv K. Kalia¹, Ying Li², Aiichiro Nakano¹, Pankaj Rajak¹, Chunyang Sheng¹, Kohei Shimamura^{1,3,4}, Fuyuki Shimojo³ & Priya Vashishta¹

High-temperature oxidation of silicon-carbide nanoparticles (nSiC) underlies a wide range of technologies from high-power electronic switches for efficient electrical grid and thermal protection of space vehicles to self-healing ceramic nanocomposites. Here, multimillion-atom reactive molecular dynamics simulations validated by *ab initio* quantum molecular dynamics simulations predict unexpected condensation of large graphene flakes during high-temperature oxidation of nSiC. Initial oxidation produces a molten silica shell that acts as an autocatalytic 'nanoreactor' by actively transporting oxygen reactants while protecting the nanocarbon product from harsh oxidizing environment. Percolation transition produces porous nanocarbon with fractal geometry, which consists of mostly sp² carbons with pentagonal and heptagonal defects. This work suggests a simple synthetic pathway to high surface-area, low-density nanocarbon with numerous energy, biomedical and mechanical-metamaterial applications, including the reinforcement of self-healing composites.

Silicon carbide (SiC) is a promising material for high-power and high-temperature electronic devices that could significantly improve the efficiency of power switches in electrical grid^{1,2}. SiC has a large band gap, high breakdown field, and high thermal conductivity. Its ability to form a native oxide layer by thermal oxidation is critical for fabricating metal-oxide-semiconductor (MOS) devices. SiC is also used in thermal protection systems in space vehicles³, where controlling high-temperature oxidation of SiC is critical. Recently, oxidation of SiC, especially that of SiC nanoparticles (nSiC), has drawn a great deal of attention both scientifically⁴ and technologically⁵. A remarkable application of nSiC oxidation is autonomous healing of cracks in ceramic matrix composites containing nSiC⁵. Near a crack, nSiC gets oxidized in the high-temperature oxygen environment and forms amorphous silica (SiO₂), which flows into the damage zone and heals the crack. Self-healing of cracks in brittle ceramics can dramatically increase the reliability and lifetime of structural components and reduce the maintenance cost in a broad range of energy technologies such as turbines for power generation.

The nature of oxidation of nanoparticles, which is essential in these applications, differs dramatically from that of their bulk counterparts^{6,7}. For example, oxidation of Au-In alloy nanoparticles produces an amorphous Au-rich oxide shell that acts as an active catalyst for CO oxidation reactions⁸. This suggests a viable synthetic route toward stable catalytic nanoparticles. Oxidation of nSiC is expected to differ from the bulk picture presented in the classical work of Deal and Grove9.

In this paper, we examine atomistic mechanisms underlying high-temperature oxidation of nSiC and identify unique products in oxidation reactions of nSiC. We have used reactive molecular dynamics (RMD) simulations (see Supplementary Section 1) validated by ab initio quantum molecular dynamics (QMD) simulations (see Supplementary Section 2) to study the behavior of nSiC in an oxygen-rich environment. In an RMD simulation, the time evolution of atomic trajectories is determined by an environment-dependent force field based on the concept of reactive bond-order. The reactive force field describes chemical bond breakage and formation, and charge transfer between atoms based on a charge-equilibration approach. In our RMD simulation, a spherical nSiC particle of diameter D cut out of 3 C-SiC crystal is embedded in a bath of oxygen molecules (see Methods).

¹Collaboratory for Advanced Computing and Simulations, Department of Physics & Astronomy, Department of Computer Science, Department of Chemical Engineering & Materials Science, and Department of Biological Sciences, University of Southern California, Los Angeles, CA 90089-0242, USA. ²Argonne Leadership Computing Facility, Argonne National Laboratory, Argonne, IL 60439, USA. ³Department of Physics, Kumamoto University, Kumamoto 860-8555, Japan. ⁴Department of Computational Science, Kobe University, Kobe 657-8501, Japan. Correspondence and requests for materials should be addressed to A.N. (email: anakano@usc.edu)



Figure 1. High-temperature nSiC oxidation. (**a**–**c**) Snapshots from an RMD simulation showing oxidation of a SiC nanoparticle of diameter 10 nm at temperature 2,800 K. A 2 nm-thick slice in the middle of the simulation box is shown in panels (**a**–**c**). Yellow, cyan, and red spheres represent silicon, carbon, and oxygen atoms, respectively, in nSiC. For clarity, O_2 molecules surrounding nSiC are not shown here. (**a**) Initial configuration; (**b**) a porous layer of silica encapsulating carbon products develops after 0.6 ns; and (**c**) carbon clusters grow further until the core of nSiC is completely oxidized around 1.7 ns. (**d**) The time evolution of the silica-shell thickness at temperatures 2,400 K (blue) and 2,800 K (red). (**e**,**f**) The time evolution of the number of chemical bonds at 2,400 K (**e**) and 2,800 K (**f**).

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We first examine the effect of temperature on the nSiC oxidation process using a nSiC with D = 10 nm at various temperatures. Below, we focus on the results at 2,400 K and 2,800 K. Subsequently, we perform simulations of larger nSiC sizes (D = 46 and 100 nm) at 2,800 K to study the size effects, with special focus on the formation and morphology of reaction products. The latter simulations have been performed on the 786,432-core IBM Blue Gene/Q computer at the Argonne National Laboratory.

Figure 1a–c, shows snapshots of the simulation for D = 10 nm at 2,800 K. Here yellow, cyan, and red spheres represent Si, C, and O atoms, respectively. An animation of the oxidation process is shown in Supplementary movie, S1.mov. Starting from a spherical nSiC immersed in an O₂ environment (Fig. 1a), initial oxidation produces a silica shell around the unreacted SiC core (Fig. 1b). The overall reaction at high oxygen pressures reads^{10,11}

$$SiC(s) + 3/2O_2(g) \rightarrow SiO_2(s) + CO(g)$$
(1)

Figure 1b shows the formation of a silica shell on the nSiC surface and release of small oxidized carbon fragments including CO, consistent with Eq. (1). Figure 1b also shows the condensation of graphene-like carbon flakes composed of hexagonal rings in the cavities of the silica shell. The carbon flakes grow into an extended carbon material until the SiC core is completely consumed around 1.7 ns (Fig. 1c). The time evolution of the silica-shell thickness shown in Fig. 1d exhibits a transition from an initial fast oxide growth limited by the reaction rate to slow growth limited by the diffusion of reactants¹² to the oxide/SiC interface. Although the overall curve is consistent with the linear-to-parabolic transition predicted by the Deal-Grove model⁹, the direct fit to the model is questionable because the shell has large, heterogeneous cavities (Fig. 1c).

Surprisingly, we find the condensation of large graphene-like flakes (colored cyan in Fig. 1b,c) between the unreacted SiC core and silica shell despite in the harsh oxidizing environment at high temperature and high oxygen pressure. Previous RMD simulations of small SiC slabs at similar temperatures indicated incipient graphene-like molecules¹¹, which might have been a precursor of the nanocarbon products observed here. Figure 1e,f, shows the number of key chemical bonds as a function of time at temperatures 2,400 and 2,800 K, respectively. The reactants at time 0 consist solely of Si-C and O-O bonds. As expected, these bonds are broken at much higher rates at 2,800 K than at 2,400 K, and a much larger number of Si-O bonds are formed than C-O bonds, reflecting the higher oxidation potential of Si than that of C. Since oxygen reacts more strongly with Si at the silica/SiC interface, carbon atoms are left to form covalent bonds between themselves and condense into nanocarbon products as shown in Fig. 1b,c.



Figure 2. Fractal nanocarbon formed by percolation during nSiC oxidation. (a) The time evolution of sp² carbon atoms at temperatures 2,400 K (blue) and 2,800 K (red) for D = 10 nm. (b) A simulation snapshot at 2 ns shows the structure of the nanocarbon synthesized by oxidation of nSiC (D = 10 nm) at 2,800 K. (c) Graphene-like carbon clusters produced on the surface of an oxidizing nSiC of diameter D = 100 nm at time 0.2 and 0.4 ns, exhibiting a percolation transition. The color represents the cluster mass in atomic mass unit (amu). (d) The size of the largest carbon cluster in amu as a function of time (D = 100 nm), where the blue dashed line marks the percolation transition. (e) Number of clusters, C(i), as a function of the cluster size, *i*, just before the percolation transition (0.3 ns) for D = 100 nm. The blue line shows the power-law fit for larger clusters, C(i) > 10.

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To quantify the growth of graphene-like flakes, we show in Fig. 2a the number of sp²-bonded carbon atoms with three carbon neighbors as a function of time at temperatures 2,400 K and 2,800 K for D = 10 nm. Larger number of sp² carbons are produced at 2,800 K than at 2,400 K, and a significant fraction of the total number of carbon atoms (~ 2×10⁴) at 2,800 K becomes part of the solid carbon product at the end of the simulation.

To highlight the nature of the nanocarbon product at 2,800 K, Fig. 2b presents a snapshot of only C atoms at 2 ns. The figure shows extended graphene sheets in the system. These are also commonly observed as intermediate products during detonation of energetic materials^{13,14}. It should be noted that SiC is abundant in the



Figure 3. Topology of nanocarbon product. (a) Time evolution of 5-, 6-, and 7-membered carbon rings. Inset shows the populations of 5- and 7-membered rings normalized by the number of 6-membered rings for D = 10 nm. (b) Spatial distributions of 5-membered (red) and the 7-membered (blue) rings superimposed on 6-membered rings (white) at 1.37 ns. (c) Close-up view of the area enclosed with the yellow-dotted line in (b). The magenta arrow points to alternating 5-membered and 7-membered rings forming a grain boundary, and the green arrow indicates a Stone-Wales defect.

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interstellar space, and has been thought of as the source of polycyclic aromatic hydrocarbons that may be a precursor of biomolecules¹⁵. Interfacial C-rich layers have also been observed during thermal oxidation of SiC in high-power electronic devices^{16,17}, which is a potential source of poor carrier mobility at SiO₂/SiC interfaces. QMD simulations suggest that these excess carbons may segregate to form carbon clusters¹⁸, which is consistent with our observation. Earlier X-ray diffraction measurement also indicated the segregation of graphitic materials in high-temperature SiC crystal at 2,400 K¹⁹.

To understand the formation mechanism of nanocarbon product and its geometry, we have performed larger simulations with nSiC diameters D = 46 and 100 nm. Figure 2c shows snapshots at time 0.2 and 0.4 ns for D = 100 nm, where the mass of each carbon cluster in atomic mass unit (amu) is color-coded. At 0.2 ns, a large number of disjoint carbon clusters are formed on the nSiC surface. By 0.4 ns, in contrast, most carbon clusters have been interconnected to form a single nanocarbon that covers the entire surface. Figure 2d shows the size of the largest C cluster as a function of time. We observe a sharp increase in the size of the largest C cluster at 0.34 ns, indicating a percolation transition²⁰, in which the entire carbon product is connected. Supplementary movie, S2.mov, animates the percolation transition for carbon clusters during the oxidation of a 100-nm nSiC at 2,800 K. A similar percolation transition was also observed for D = 46 nm. Supplementary Fig. S3 shows the size distribution of the graphene flakes (blue) and the size of the largest C cluster (red) as a function of time for D = 46 nm.

Percolation transition shown in Fig. 2d is associated with a fractal geometry, which is manifested in the critical cluster-size distribution just before the transition. Figure 2e plots the number of clusters C(i) as a function of the cluster size *i* at 0.3 ns for D = 100 nm. The distribution follows a power law, $C(i) \sim i^{-\tau}$ for i > 10, where the fitted exponent is $\tau = 2.62$. According to the theory of percolation, the critical exponent τ of the cluster-size distribution is related to the fractal dimension of the clusters as $\tau = d/d_f + 1$ (d = 3 is the dimensionality)^{14,21}. The corresponding fractal dimension is $d_f = 1.85$. The fractal dimension of aggregates is known to be a sensitive function of growth conditions such as reaction rates and diffusion coefficients²². This value thus provides valuable information regarding the reaction mechanisms. This long tail distribution also indicates the abundance of large clusters, and accordingly the need for large-scale simulations. The nanocarbon product is thus a porous material with fractal geometry, which is associated with large internal surface areas and low mass density.

To further characterize the geometry of the nanocarbon product, we calculate the distribution of 5-, 6-, and 7-membered rings formed by C-C bonds. A defect-free graphene sheet would consist of 6-membered hexagons, and 5-membered pentagons and 7-membered heptagons constitute topological defects called disclinations²³. The positive Gaussian curvature associated with pentagonal defects produces curved surfaces commonly observed in fullerenes²⁴, whereas the hyperbolic geometry due to negative-curvature heptagonal defects produces wrinkled surfaces²³. Figure 3a shows the number of 5-, 6-, and 7-membered rings as a function of time in a 2,800 K RMD simulation for D = 10 nm. Most of the C rings are hexagons with approximately equal numbers of pentagonal and heptagonal defects. The inset in Fig. 3a shows the ratios of the number of pentagons are produced, followed by the production of heptagonal defects, but at the end of the simulation the system has almost the same number of pentagons and heptagons (~17–19%). These topological defects are thought to play a crucial role in tailoring the mechanical²⁵ and electronic²⁶ properties of graphene. Results in Fig. 3a suggest that it may be possible to control the reaction time and defect densities and thus tune the electronic and mechanical properties of graphene synthesized in nSiC oxidation reaction.

Snapshots in Fig. 3b,c, show the spatial distribution of topological defects in the 2,800 K RMD simulation for D = 10 nm at 2 ns. Here, red and blue colors indicate pentagons and heptagons, respectively. We observe a uniform distribution of topological defects in the graphene sheet. Pentagonal and heptagonal disclinations together are known to form a dislocation. The magenta arrow in Fig. 3c indicates alternating 5- and 7-membered



Figure 4. Multifunctional porous silica shell as a nanocapsule and nanoreactor. (a) Snapshot of the silica nanocapsule in a 10 nm nSiC at 2,800 K after 2 ns. Here yellow and red spheres are silicon and oxygen atoms, respectively. (b) Mean square displacement of oxygen atoms covalently bonded to silicon atoms. At 2,800 K, oxygen atoms diffuse rapidly through the silica shell, facilitating oxidation reactions at the interface of the SiC core. (**c**-**e**) Morphologies of the silica shell show that silica layer forms a highly porous spherical shell structure. Isosurface plots at mass densities 2.1 g/cm^3 and 2.2 g/cm^3 are used to represent the outer surface (gray) and interface (blue) of the silica shell, respectively. Images c to e show *xz* cut surfaces at three different *y* positions.

rings forming an extensive line defect, *i.e.*, a grain boundary, which is commonly observed in graphene sheets²³. Also shown in Fig. 3c (green arrow) is a Stone-Wales defect²⁷, consisting of edge-sharing 5-7-5-7 rings. In Supplementary movie, S3.mov, showing the time evolution of graphene sheets, 5-, 6-, and 7-membered rings are colored red, white, and blue, respectively. Initially, mostly five-membered rings are nucleated, which is consistent with the inset of Fig. 3a. Subsequently, these pentagons act as nucleation seeds for larger graphene sheets composed of mostly 6-membered rings. The initial nucleation of carbon-ring networks with 5-membered rings is consistent with the recently proposed 'pentagon-first' mechanism, which is driven by geometry rather than thermodynamic stability²⁸. Experimentally observed statistical distribution of 5-membered rings also suggests the non-energetic origin of defect formation²⁹.

We observe that graphene flakes nucleate and are 'woven' at the nSiC surface, and the silica shell (Fig. 4a) plays a surprisingly active role in the synthesis of graphene flakes. The molten silica shell absorbs environmental oxygen, which becomes part of the Si-O bond network. The O atoms move toward the silica/nSiC interface through a sequence of bond-switching events³⁰ and bond preferentially to Si rather than C, as shown in Fig. 1e,f. To quantify oxygen transport in the molten silica shell at 2,400 K and 2,800 K, we calculate the mean square displacement (MSD) averaged over all O atoms bonded to Si (Fig. 4b). Comparison with Fig. 2a shows a positive correlation between the MSD and the amount of carbon product. These results demonstrate that rapid oxygen transport in the molten silica shell plays an essential role in the production of nanocarbon. This autocatalytic role of the silica 'nanoreactor'³¹ is akin to autocatalytic behavior of reaction products during detonation of pentaerythritol tetranitrate, where H₂O products are directly involved in the breakage of N-O and formation of C-O bonds³². We have also observed such a mechanism in hydrogen production from water by LiAl particles. In that system, QMD simulations reveal that bridging oxygen atoms between Al and Li play an active role in the breaking of O-H and formation of Al-O bonds³³.

Molten silica is known to catalyze nanocarbon growth^{34,35}, avoiding the impurity problem of conventional metal catalyzed vapor-liquid-solid (VLS) growth. SiC nanoparticles are also used as catalyzers for chemical vapor deposition (CVD) growth of carbon nanotubes³⁶. It has also been suggested that SiC particles act as nucleation centers for interstellar carbon condensation reactions¹⁵. While being consistent with these earlier works, what is new here is that the silica nanoreactor is self-formed during high-temperature oxidation of nSiC. The molten silica nanoreactor works efficiently, partly because the nanocarbon product is confined in the cavities. A similar confinement effect for efficient discovery of reaction pathways was demonstrated in *ab initio* nanoreactor simulations by Wang *et al.*³¹. Figure 4c–e, shows the geometry of the cavities in the silica shell where the nanocarbon products reside. These cavities create a locally oxygen-deficient environment within which large carbon clusters

can grow, protected from the harsh oxidizing environment outside. In summary, the novel fractal geometry of nanocarbon product shown in Fig. 2 arises from topological (*i.e.*, pentagonal and heptagonal) defects shown in Fig. 3 and confinement within the silica nanoreactor shown in Fig. 4.

The porous nanocarbon may find numerous energy, biomedical and mechanical-metamaterial applications. Nanocarbon can be extracted from the oxidation product by dissolving silica shells. Potential applications of the nanocarbon with high surface areas and low mass density include supercapacitors³⁷ and battery electrodes³⁸, and functionalized fractal-graphene grown on luminescent nSiC may also find applications in biomedical sensing³⁹. Recently, porous nanocarbon has been suggested as a candidate for a novel "mechanical metamaterial" that could exhibit peculiar mechanical properties such as negative compressibility⁴⁰. Key to the mechanical metamaterial is a low mass density, while maintaining the mechanical integrity. We have estimated the mass density of solid composed of the nanocarbon shown in Fig. 2b to be 0.50 g/cm3 (Supplementary Fig. S4). Supplementary movie, S4.mov, shows that this nanocarbon in fact possesses high mechanical integrity during collision with a hard wall. The unique mechanical properties of porous 'metacarbon' likely originate from topological defects⁴¹, which are abundant in the nanocarbon synthesized by nSiC-oxidation as shown in Fig. 3. Furthermore, porous carbon nanoballs shown in Fig. 2b may be assembled into superlubricant⁴². Our results thus suggest a simple synthetic pathway⁴³ to porous fractal nanocarbon with broad industrial applications, adding to existing carbide-derived carbon synthesis methods including hydrothermal reaction⁴⁴. Addition of carbon is also known to improve the mechanical strength of ceramic composites⁴⁴, and thus the carbide-derived nanocarbon found in our simulation is expected to reinforce the aforementioned self-healing ceramic nanocomposites⁵. Furthermore, our results provide insight into fundamental scientific issues including carbon condensation in early Earth⁴⁵ and interstellar space¹⁵, namely, how large carbon products can be formed in harsh environments.

Our first-principles based prediction awaits future experimental tests. After burning nSiC, SiO₂ can be etched away to isolate the nanocarbon product. The same process was used in recent synthesis of carbon-based supercapacitors⁴⁶. In their work, nanocarbon was grown in mesoporous SiO₂ using chemical vapor deposition, followed by the etching of SiO₂ to isolate the carbon product. Our proposed synthetic method is simpler and requires only one step to form a silica nanoreactor and nanocarbon at the same time. Also, carbon production during thermal oxidation of SiC is well documented^{16,17}, and the proposed nanocarbon synthesis by high-temperature oxidation of nSiC is plausible. Finally, the produced nanocarbon can be characterized by nuclear magnetic resonance (NMR), Raman spectroscopy, and transmission electron microscopy (TEM).

Methods

To study the size effect, we performed three sets of simulations for nSiC with diameter D = 10, 46, and 100 nm. The dimension of the cubic simulation box was 15, 68 and 150 nm for the three cases, and the periodic boundary condition was applied in all Cartesian directions. The total numbers of atoms were 100,195, 10,007,652, and 112,071,581, respectively for D = 10, 46 and 100 nm, respectively. In each case, the nSiC surface was first relaxed with the conjugate-gradient (CG) method, and subsequently O_2 molecules were inserted in the simulation box. A high O_2 density (1/6th of the SiC mass density) was used to simulate a fuel-lean oxidation condition¹¹. The simulations were performed at several temperatures including 2,400 and 2,800 K. In each simulation, the total system including the nSiC and O_2 molecules was heated up to the desired temperature over 10 ps. Subsequently, uniform temperature distribution was achieved by scaling the atomic velocities during the simulation. The simulations was run for 2 ns until the nSiC was completely oxidized at 2,800 K for D = 10 nm, and to the point where the percolation of carbon clusters were confirmed for D = 46 and 100 nm. The D = 46 and 100 nm simulations were performed on the 786,432-core IBM Blue Gene/Q computer at the Argonne National Laboratory.

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Author Contributions

R.K.K., A.N., F.S. and P.V. designed the research. K.N., Y.L. and P.R. performed RMD simulations. C.S. and K.S. performed QMD validation. All participated in data analysis and writing the paper.

Additional Information

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